Patents, societal impact, and sustainability

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Published September 23, 2024

Citation

Willighagen, E. (2024, September 23). Patents, societal impact, and sustainability. *Chem-bla-ics*. https://doi.org/10.59350/3abda-n1j28

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Division 1 of our Institute of Nutrition and Translational Research in Metabolism (NUTRIM) held a meeting last week which had a panel discussion on the use of patents to bring research to the market, aimed at PhD candidates of the institute. Patents are one of the routes to make research output more sustainable. For example, the research output into a new method to study something or make something often needs the development into a product. For example, a new multivariate statistics method may need a graphical user interface. As such, the "development" after the research (think, R&D) is often part of the *sustainability* of some research.

Patents, trade secrets, and precompetitive collaboration are three methods that have been used to make research output sustainable. Of course, in addition to the fourth, which is simply the published journal article or book chapter.

This led to the notion that PhD research, if it is to benefit (the Dutch) society, then if needs to get used. There needs to be a market of users. This could be other scholars that use the method, use the data (see also Citation Typing Ontology that captures such reuse), or could be a product sold to other businesses or even a consumer market product.

Filing a patent is often seen as research having societal impact. It captures the notion that one or more people trust the impact enough to invest a considerable amount of money. BTW, patents allow others to reuse your knowledge, to extend it, and to modify it. It is just that the patent limits how you use the results of that reuse commercially.

But patents are interesting in another way. A mention of your research means that the people that cited your work in their patent found your research valuable enough to list it as support of their patent. This is similar to getting cited in another journal article (or book (chapter)), but much closer to society.

Therefore, if you are interested to learn which of the research you do, and the output of that research, has an impact on society, scanning patent literature for citations to your work or the work of the research group you work in, can give surprising results. Worst case, it gives you ideas of how the research may benefit society.

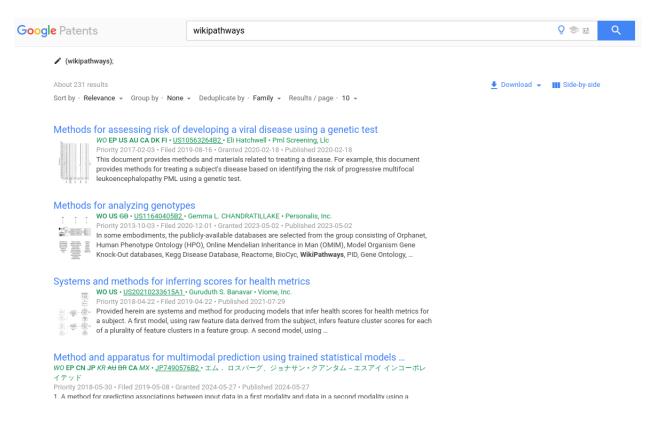
Google Patents

Nowadays, there are multiple patent search engines and sometimes the do a lot of text mining, e.g. to find patents that mention a certain chemical structures. But a general search engine like Google Patents will already to you a great service. If you search here on terms related to your research, or your last name, you can find results. If your research project has a unique name, this will, of course, greatly simplify the search.

For example, when I search for WikiPathways (our biological WikiPathways knowledge graph), it finds over 200 patents that mention it. WikiPathways is an Open Science project and there is no patent on our approach, but what this project has done, turns out to be important for SMEs

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enough that they base a patent on it. Of course, the role is often just supportive, just like a journal article citation. This is what a results page may look like:



Citations to specific article

There are also tools that make available text mining results that found which articles have been cited in which patent. Altmetric.com is one of them. For many articles (DOIs) they provide information on where that article (DOI) is mentioned. And they provide a donut to visualize that attention. Over time, the diversity of what mentions they find has gone down, and new media are not added frequently and Mastodon is a big one missing, but patents is still one of the supported resources.

For any DOI you can look up what data Altmetric.com has using this URL pattern (the example is for the DOI *10.1039/D3DD00069A*):

https://altmetric.com/details/doi/10.1039/D3DD00069A

Maastricht University users can use our cris which provides an HTML page listing all your articles (e.g. mine) and each has a Altmetric.com donut, which an orange band for patents:

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We can see here that this article is cited in three patents. You can click the donut to find which patents those are. The *cris* overview page gives a quick look which articles (or research lines) are cited in patents.

Also look out for the purple bands, which reflect citations in policy documents, which reflect another kind of societal impact.

Potential

For early career researchers with few articles and not a lot of time to get cited in patents (or policies), it can also be useful to look at articles that your work is based on, e.g. those of your supervisor.